

U.S.S.N. 10/528,573

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NTZ 0109 PCT

**IN THE SPECIFICATION:**

On page 1 of the PCT Publication of the specification, please add the following heading between the title and the first full paragraph to appear as follows:

Technical Field

On page 1 of the PCT Publication of the specification, please amend the first full paragraph to appear as follows:

The present invention relates to a ~~filter device as defined in the preamble of claim 1~~ micro filter device. In particular, it concerns an oil filtering device with a filter part having micro-filtration capability, the filter contained in a housing having an inlet and an outlet. Oil travels through the inlet part, is radially flow filtered by the filter, and exits the outlet part.

On page 1 of the PCT Publication of the specification, please add the following heading between the first and second full paragraphs to appear as follows:

Background

On page 1 of the PCT Publication of the specification, please amend the second full paragraph to appear as follows:

Such devices are generally known and commonly are provided with a filter part for filtering particles from oil that has contacted rotational parts such as in transmissions, and which even may have been used for lubricating engines. ~~With nowadays increase in~~ Nowadays, with increased engine ~~powers~~ power, the increased use of automatic transmissions with torque converters, and with the increasing refinement in valve components within such hydraulic systems it has become a desire to have refined the filtration of the oil to a considerably increased amount, i.e. by so called micro filtration. Such desire also emanates from a public convenience desire of not needing to change oil in cars, at least to have such need to a much lesser ~~extend~~ extent.

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On page 1 and continuing on page 2 of the PCT Publication of the specification, please amend the third full paragraph to appear as follows:

Micro filtration as such, and its effect as compared to conventional filtering is known per se, e.g. from SAE paper 2001-01-0867 "Automatic transmission hydraulic system cleanliness - the effects of operating conditions, measurement techniques and high efficiency filters", which document is hereby ~~regarded included~~ incorporated by reference. Micro filters per se are also known, e.g. from international patent application PCT/INL00/00530 which is also ~~regarded included~~ incorporated by reference herein. The known micro filter consists of radially wound more or less relatively highly compressed cellulose ~~fibres~~ fibers. This type of micro filter is suitable for and known from application in a so called by-pass configuration. In such a configuration the original filter of the hydraulic system remains in its original position in the flow system, i.e. remains in a so called in-line arrangement, while independent from the main hydraulic stream, an auxiliary hydraulic loop is created, in which the micro filter is incorporated. The auxiliary loop may e.g. consist of only a minor part of the entire hydraulic flow, e.g. 10%. Yet, in this manner, over time this configuration effects a gradual decrease of degree of contamination of the oil up to the level of the filtering grade of the micro filter. With a micro filter as known, a "fill for life" for passenger vehicles may be accomplished, especially since a large amount of filtered particles may be accommodated in the filter due to a relatively extremely large radial thickness of the filter material on the one hand, and on the other hand because a low degree of contamination of oil tends to slow down further contamination thereof. The requirement for an additional hydraulic flow and an additional filter device, thus for increased initial costs, has in automotive applications up to now hampered wide spread adoption of the micro filter, despite its advantages.

On page 2 of the PCT Publication of the specification, please amend the first full paragraph to appear as follows:

It is therefore an object of the present invention to provide a solution enabling the use of a micro filter with the lowest amount of effort complexity, with the lowest amount of initial costs, and with ~~optimized~~ optimized functionality of the micro filter.

On page 2 of the PCT Publication of the specification, please add the following heading between the first and second full paragraphs to appear as follows:

Summary Of The Invention

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On page 2 of the PCT Publication of the specification, please amend the second full paragraph to appear as follows:

Where prior art designs like EP-0678 318 and US2525287 do not specify the kind of filtering material used, the invention also takes account of the at least preferred use cellulose ~~fibro~~ fiber based high efficiency micro filtering media. According to one embodiment of the invention such has been ~~realised~~ realized with the ~~measures as defined in the characterising portion of claim 1~~ the filter part having axial end faces which are formed from the micro filter, and the device including an internal, flattened filter end face and contacting face for axially closing a passage of oil. The contacting faces have a diameter matching that of the filter part. The contacting faces are part of the device housing, and are clamped to the filter end faces by a clamping mechanism.

On page 2 of the PCT Publication of the specification, please amend the third full paragraph to appear as follows:

With a device according to ~~claim 1~~ the foregoing embodiment, a micro filter may effectively be incorporated in an existing configuration, e.g. an automotive application, with ~~lowest amount of~~ little effort, in that its packaging is compact and may thus relatively easily be positioned within existing spaces such as the oil space of a sump or immediately adjacent such device, e.g. adjacent an oil cooler. Such device may be incorporated either in-line or in by-pass configuration in addition to an existing full flow filter without undue cost or undue redesign of a system in which such device is incorporated.

On page 2 of the PCT Publication of the specification, please amend the fifth full paragraph to appear as follows:

In accordance with ~~an elaboration~~ another embodiment of the invention, with an oil passage closing face integrated in a housing part, an overall economic and functionally improved device is achieved. In particular, if an oil passage closing face is integrated in a housing wall part having a thickness of more than twice the thickness of the majority of the wall part of a relevant unit of the housing, an initially cost effective and relatively easily to implement solution is provided, in that only a tooling part for shaping the interior of a housing part needs to be adapted by taking away material from it.

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On page 2 and continuing on page 3 of the PCT Publication of the specification, please amend the sixth full paragraph to appear as follows:

In accordance with an even further elaboration of the invention, the closing face is integrated in an insert member fitting irregularities in shape of a relevant part of a possibly existing filter housing at an axial side of the insert opposing the closing face, and preferably being provided with an O-ring corresponding to the largest diameter of the insert member. In such an ~~elaboration~~ embodiment of the invention, an aim of the invention is achieved with lowest possible effort at both end user and manufacturer, since such measure enables the use of a micro filter by simple replacement a conventional filter by a micro filter.

On page 3 of the PCT Publication of the specification, please amend the first full paragraph to appear as follows:

It is remarked that in the previous said US 252 287 and EP0678318 documents, the axial end faces of the filtering material are not entirely covered, where such is a requirement for benefiting of the advantages of the filtering media set forth, especially since with this kind of media relatively high pressure differences are taken into account of 1 bar and up. Leaving any axial part uncovered like in the prior art would thus lead to a locally concentrated flow, thus rendering the value of the present filter virtually obsolete. Also in these prior art designs, a ~~centralised~~ centralized clamping means is applied, requiring a relatively complicated exit flow structure, while also relatively much space is required, which is no problem in traditional by-pass configurations, but which may lead to existing packaging problems in in-line configurations. Therefore the clamping ~~means~~ mechanisms in the design according to the invention are situated outside the filter element as is e.g. known per se from e.g. US[.] 5290445. The latter disclosure, however does not teach compact design by clamping the filtering ~~means~~ mechanism between housing walls as taught ~~be in~~ the current invention. Like EP 0678318 the latter disclosure does not deal with ~~minimising~~ minimizing axial length, which may be further ~~minimised~~ minimized by locating an oil entrance opening ~~radially~~ radially outside the filter element. Since this in the present structure is directed axially, no or at least considerably less ~~problem~~ problems exists with damping impingement force of the entering oil by e.g. baffle plates. Space is in this respect further saved by locating this entry only locally, i.e. by a receiving chamber 3A so that the majority of the circumference of the housing may be kept close to the filtering ~~means~~ mechanism.

On page 3 of the PCT Publication of the specification, please add the following heading between the first and second full paragraphs to appear as follows:

Brief Description Of The Drawings

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On page 4 of the PCT Publication of the specification, please amend the first full paragraph to appear as follows:

Figure 3 and 4 relate to a filter device with ~~cost a costs-optimized~~ cost-optimized factory modification of the interior of a conventional filter housing, enabling the manufacturers application of an ultra fine filter part;

On page 4 of the PCT Publication of the specification, please add the following heading between the second and third full paragraphs to appear as follows:

Detailed Description Of The Drawings

On page 4 of the PCT Publication of the specification, please amend the fourth full paragraph to appear as follows:

Figure 1 schematically represents a filtering device 1, applied in combination with an oil cooling device 2. The device 1 is provided with a housing 3, opened at one side that is pressingly attached, here by bolts 10, to a cooler device 2. It may either be connected directly to the housing of the cooler 2, or indirectly via a base plate not depicted, to which both devices are than attached. The filter device 1 is fed via an inlet port 3 connected via a bore 4 to an outlet port of the cooler device. The cooler receives heated oil, e.g. by an engine or a transmission, via an inlet port 6. The oil is cooled while being fed through the cooler device, which is in turn cooled by a preferably liquid cooling agent, running through a separate channel system, having an inlet port 7 and an outlet port 8. The cooled oil received by the filter device 1 is radial forced through the cylindrically shaped filter part 5 of which one axial end is closed, while the other end connects to a discharge port of the filter device. The device is provided with tightening ~~means~~ mechanisms in the form of bolts 10, which has the advantage that a filter part 5 within the housing may be clamped against the lid without the latter being possibly affected by any rotational movement. However, the lid may in principle also e.g. be connected to the house by a threaded provision for a mutually screwed connection.

On page 4 and continuing on page 5 of the PCT Publication of the specification, please amend the fifth full paragraph to appear as follows:

Figure 2 ~~more in~~ more detail depicts a cross section of the filter device 1 of figure 1, however fitted with an ultra high efficiency filter for micro filtration within a generally known housing as suited for a conventional, e.g. in line filter, typically allowing a high throughput of oil and capable of filtering particles ~~of particles~~ of e.g. 20 µm and larger.

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Micro-filtration typically is capable of filtering particles of ~~0.5~~ 0.5  $\mu\text{m}$  and larger. Rather than at conventional filters, which only, or at least mainly are based on a sieving principle, this result is possible since micro filtration is based both on sieving and on a polarity or binding principle where contaminations are bound to fibers. In ~~easy~~ certain cases, the filter applied is capable of filtering particles of ~~0.5~~ 0.5  $\mu\text{m}$  and up. One type of micro filter that may be used for the present purpose is a cellulose based filter. The housing for such conventional filter part shows an outlet port 9 accommodated in a tube shape, integrally shaped with a thickened support part 11 of the relevant wall part ~~42~~ 13 of the housing, in ~~easy~~ this case, the wall part 13 is oriented axially in relation to the cylindrical filter part. In conventional application this support part 11 functions as a support for both an O-ring fitting the transition from the support base to the tube part 10. In such conventional application, ~~the~~ The O-ring in turn supports the relevant axial end of the filter part. The inlet port 3, associated with a bore or tube 4 not depicted in this figure, is provided in a locally widened housing part 3A of the filter device. The bore or tube 4 may be provided either in the lid part 17 of the housing or in the main housing part 16.

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On page 5 of the PCT Publication of the specification, please amend the first full paragraph to appear as follows:

In the embodiment according to figure 2, the use of a micro filter within the structure adapted for receipt of conventional, in-line oil filters is enabled by the provision of a rigidly formed filler part 14 and a large diameter O-ring 15 fitting into the space between the thickened wall part 11 and a radial housing part 16, filling the space between the axial wall part. The filler part 14 simultaneously forms an axial end closure to the micro filter part 20. An axial end wall of the filter part stretches in a plane oriented transversely to the axial direction of the filter part. The filter part 20 is formed with a filter of which the radial thickness is substantially larger than the radius of the cylindrical inner space 21 of the filter part. The central space 21 is formed by a perforated tube part 22 forming an internal wall of the filter part 20. The other axial end of the filter part is also closed for oil passage by an other end closure member 24, also rigidly shaped and also provided separate from the filter part 20. The closure members 14, 24 are pressed against the relevant end faces of the filter part 20 under the influence of a rigid connection between the filter housing and relevant wall part 17 associated with the cooler device 2, and of a close tolerance fitting of the relevant parts, thereby relying on a fractional axial deformation capacity of such cellulose material based filter part. An advantage of the current filtering arrangement is that the housing 16 may entirely remain unchanged. This in turn enables both the after market application of a micro filter 20 and an easy to implement factory mounting of a micro filter 20.

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On page 5 and continuing on page 6 of the PCT Publication of the specification, please amend the second full paragraph to appear as follows:

Also for accommodating less strict tolerances, thereby reducing manufacturing costs, a spring member 25 may be provided between the wall part 17 and the closure member 24. For the purposes of enhancing proper positioning of the filter part 20, a dimple 26 may be provided in the wall part 17, receiving part of the closure element and preferably the spring device 25. In the depicted sophisticated embodiment of this feature, the closure element is provided with a small, axially exterior part that is of radially smaller width, such that the spring element 25 may be received and straight guided by the thus created opening or groove between the dimple and said closure part. Preferably the spring is of a diameter at least almost corresponding to the outer diameter of the closure element 24, which in turn, the other closure member 14, has a diameter corresponding to that of the filter part. In this manner a relatively thick and highly loadable spring may be accommodated. The arrangement with said dimple 26 provision also positively influences the axial length of the filter part 20. Both closure elements 14, 24 are preferably provided with a generally cylindrical notch 27 fitting the internal space 23 of the filter device. The notch 27 of the closure member 14 connecting to the outlet port 9 is provided with a central bore for oil discharge. Especially in case the device is provided with a spring ~~means~~ 25, the closure members 14, 24 and the radial contacting faces 16AF and 16BF as described at the following figures, are preferably provided with concentric ribs which are pressed into the material of the end face 20E of the filter part 20.

On page 6 of the PCT Publication of the specification, please amend the first full paragraph to appear as follows:

In accordance with a further aspect of the invention, the filtering device is fitted with a spring member 25, while the relevant, outlet 9 opposing closure member 24 may also be provided with a bore, either fully open or fitted with a pressure dependent valve member as known ~~per-se~~ and not depicted in the figure. The filter device 1, when thus provided with a bore only, is adapted as a by-pass filter system, securing a base flow of oil that is directed through the bore. At relatively high pressures, when the oil flow may not fully pass through the bore, it passes radially through the filter part 20. In this manner the filter device functions as a by-pass filtering system at relatively high pressures only. Yet, in practice if at least a part of the oil passes through the micro filter, the oil will in time gradually become entirely filtered, i.e. will become cleaner up to the level determined by the chosen filtering grade. The diameter of the bore may be varied in accordance with the desired base flow and the desired percentage of oil passing through the filter part 20 per unit of time, taking into account the chosen specifications of the filter part 20.

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On page 6 and continuing on page 7 of the PCT Publication of the specification, please amend the second full paragraph to appear as follows:

The filter device according to this further aspect of the invention may also be provided with a valve member 28 fitted to the above mentioned bore. In this manner a filter device is created for securing an additional oil passage in addition to the oil passage through the filter part 20, given a certain pressure at which the oil is provided to the filter part 20. The valve member accommodated according to the invention opens wider with increasing pressure. Such pressure dependent valve member enhances certain applications or certain operating conditions where a micro filter may not allow for a sufficient flow as often required by so called in-line filter applications. The filter device according to the invention then operates as a by-pass filter, accommodated in a typical in-line filtering arrangement. Typically such valve member known per se is composed of an elastically deformable synthetic material, having a tube part with an inner cylindrical opening at one end, gradually changing into a rectangular like, and subsequently line like opening at the other end, which without internal oil pressure acting on it is closed by internal wall parts being pushed against each other. This end part of the tube may open under oil pressure under a resistance force acting against elastic deformation emanating from the combination of the particular shape and the elastically deformable nature of the material. Therefore, depending on the desired guaranteed flow rate at any pressure level, depending on the specifications of the filter, such as radial thickness, axial length and filtering grade, the valve member may be designed in accordance with a manufacturers which desire.

On page 7 of the PCT Publication of the specification, please amend the first full paragraph to appear as follows:

Figure 3 depicts an elaborated embodiment of a conventional housing for accommodating a micro filter device. The embodiment incorporates the advantage of reduced material cost at a minimum adaptation of a manufacturing tool vis a vis a relatively high functionality of the filter part 20. The improved functionality of the current device is in particular related to an increased effective axial length of the filter part 20A within the prescribed outside boundaries of the housing 16A. The radial housing part 16A acts as an oil passage closing face 16AF. The oil passage closing face 16AF can be integrated in a housing wall part having a thickness of more than twice the thickness of the majority of the corresponding radial portion 16A of the housing 16, 17. By a measure incorporating a relatively easy to perform removal of material from a tool for shaping the interior of the housing, the lower side of the housing is formed at least virtually flat, such that it may function as a closure member to the relevant axial end face 17F of the filter part 20AF. This embodiment is preferably combined with the creation of an e.g. ring like dimple in the cooler housing associated plate 17, such that the dimple functions both as a closure member to the filter part 20 and as a positioning provision. Apart from the advantage of elongating the filter part 24 20, no further parts such as O-rings or separate closure members are required, reducing manufacturing effort and costs.

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